Plan S compliance in OJS

Webinar presented to the Free Journal Network

Kate Shuttleworth & Mariya Maistrovskaya
July 28, 2022
This webinar is being recorded
and auto-captioning is enabled
Welcome & introductions

● What we will cover in this webinar
  ○ Brief intro to Plan S and PKP’s Guide to Plan S Compliance in OJS
  ○ Addressing specific Plan S requirements in OJS
  ○ Where to get more information

Please ask questions in the chat, or raise your hand and unmute at any time

● We’ll demonstrate specific features and plugins using a test journal on OJS 3.3
  ○ Some features will look different or be unavailable for journals using older versions - we recommend upgrading to a recent version to take advantage of the latest features
Brief introduction to Plan S

• Initiative promoting open access publishing for publicly funded research, supported by major research funders around the world (“cOAlition S”)

• Requires that authors who conduct research funded by cOAlition S funders publish in journals that meet the Plan S requirements

• Consists of ten principles and includes guidance on the Implementation of Plan S and Technical Guidance and Requirements
Introduction to **PKP’s Guide to Plan S Compliance in OJS**

- Provides specific guidance for OJS journals who want to ensure they are complying with the Plan S requirements

- The guide follows the Plan S Technical Guidance and Requirements and links to relevant PKP documentation on specific topics

- This webinar will cover the same content as the guide, starting with the criteria required by Plan S and followed by recommended criteria
Managing journal policies in OJS

1. Review and editorial policies

2. Open access, copyright, and Creative Commons
1. Review and editorial policies

- Include details about the peer review process, publication frequency, author guidelines, codes of ethics such as statements on plagiarism and conflicts of interest, and editorial statistics

- A few places this information can be added in OJS:
  - Create section headings in About the Journal
  - Create a separate “journal policies” page on the journal website
  - Add Author Guidelines in the Workflow > Submission settings
  - Add Reviewer Guidelines in the Workflow > Review settings
Example of review and editorial policies on “About the Journal” page in OJS

About the Journal

This is a test journal for demonstrating Plan S compliance in OJS.

Peer Review Process


Publication Frequency

The journal publishes 3 issues per year.

Author Guidelines

Please see the Submissions page

Code of Ethics
Example of review and editorial policies on a custom “Journal Policies” page in the main navigation in OJS
Author Guidelines

Recommended guidelines include bibliographic and formatting standards alongside examples of common citation formats to be used in submissions.

Here are some author guidelines, including bibliographic and formatting standards.

Author Guidelines added in the Workflow Settings
Review guidelines added in Workflow Settings

Review Guidelines

Peer reviewers are required to evaluate the manuscript critically and constructively and to provide valid and informative comments to authors and editors, which will facilitate improvement in their work. The following list of questions can be used as a guideline for peer review. Keep in mind that all the questions will not be applicable to every paper, and that some papers will present other questions not included in this list.

Originality and currency

Competing Interests

Before reviewing a paper, the reviewer should ensure that there is no conflict of interest present. Although reviews in this journal are double anonymous, it is possible that a reviewer may be able to recognize the identity of an author. In such cases, the section editor should be contacted immediately regarding the conflict of interest so that an alternate reviewer can be assigned.
2. **Open access**, copyright, and Creative Commons

- **Open Access Policy**
  - Place your open access statement on the journal site - possibly under a section on the About the Journal page
  - [Recommended] Register your self-archiving policy with [Sherpa / Romeo](https://www.sherpa.ac.uk/romeo)
Publisher Policy

Open Access pathways permitted by this journal's policy are listed below by article version. Click on a pathway for a more detailed view.

<table>
<thead>
<tr>
<th>Published Version</th>
<th>OA Publishing</th>
<th>Embargo</th>
<th>Licence</th>
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<td>Authors</td>
<td>PubMed Central, Europe PMC</td>
<td>Any Website, Author's Homepage, Institutional Repository, Named Repository (PubMed Central), Journal Website</td>
<td>Copy of License must accompany any deposit. Published source must be acknowledged. Must link to publisher version with DOI</td>
</tr>
</tbody>
</table>

Example of an open access journal's self-archiving policy in Sherpa / Romeo
About the Journal

This is a test journal for demonstrating Plan S compliance in OJS.

Peer Review Process


Publication Frequency

The journal publishes 3 issues per year.

Author Guidelines

Please see the Submissions page

Open Access

This journal provides immediate open access to its content on the principle that making research freely available to the public supports a greater global exchange of knowledge.

Authors retain copyright for their published works and are encouraged to deposit the post-print or version of record in an open access repository, with an acknowledgement that this journal is the first place of publication.

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2. Open access, copyright, and Creative Commons

- Copyright and Creative Commons
  - Authors must retain copyright
  - Readers must be granted upfront permission to share and adapt the article for any purpose, including commercially, providing they give proper attribution to the author.
    - Creative Commons licenses are recommended.
2. Open access, copyright, and Creative Commons

- **Creative Commons Licenses** give permission for content to be shared and adapted under certain conditions.
- Creative Commons Attribution (**CC BY**) is the most open license which requires attribution, and is the default required by Plan S.
- The share-alike clause (**CC BY-SA**) and public domain dedication (**CC0**) are also acceptable.
- A non-commercial license is not permitted by Plan S. No-derivatives (**CC BY-ND**) can be requested with justification for an individual article with permission granted by the funder.
2. Open access, copyright, and Creative Commons

- Place copyright and license information in two fields in OJS:
  - **For authors:** Workflow Settings > Submission > Author Guidelines > Copyright Notice
  - **For readers:** Distribution Settings > License

- Incorporate the CC license in published articles (ie embedded in the PDF)
Journal Policies: Dashboard demonstration
Copyright Notice appears on the Submissions page (for authors)

Workflow Settings

Author Guidelines
Recommended guidelines include bibliographic and formatting standards alongside examples of common citation formats to be used in submissions.

Here are some author guidelines, including bibliographic and formatting standards.

Copyright Notice
Require authors to agree to the following copyright notice as part of the submission process.

Authors retain copyright of their work, with first publication rights granted to Journal of Plan S Compliance.

You hereby agree to publish your article under a Creative Commons Attribution 4.0 International license.

You further warrant that:
- The submission is original, has not been formally published in any other peer-reviewed journal.
Copyright Notice appears on the Submissions page (for authors)

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You further warrant that:

- The submission is original, has not been formally published in any other peer-reviewed journal or in a book or edited collection, and is not under consideration for any such publication.
- You have obtained written permission from the copyright holder for the inclusion of any third party material, including images, in your submission.
- The submission does not include any instance of plagiarism.
- You have made all reasonable efforts to ensure the accuracy of any factual information contained in the article, and have not falsified data findings.

The authors agree to the terms of this Copyright Notice, which will apply to this submission if and when it is published by this journal.
License terms appear on the published article page (for readers)

## Distribution Settings

### License

- Copyright Holder
  - Author
  - Journal
  - Custom copyright statement

- License
  - CC Attribution-NonCommercial-NoDerivatives 4.0
  - CC Attribution-NonCommercial 4.0
  - CC Attribution-NonCommercial-ShareAlike 4.0
  - CC Attribution-NoDerivatives 4.0
  - CC Attribution 4.0
  - CC Attribution-ShareAlike 4.0
  - Other license URL

### Copyright Year

Choose how a default copyright date is selected for an article. This default can be overridden on a case-by-case basis. If you "publish as you go", don't use the issue's publication date.

- Use the issue's publication date
- Use the article's publication date

### License Terms

| B | I | X | X | ☑ |

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Test article to show what a published article looks like in OJS

Sarah Jones

DOI: https://doi.org/10.9876/jpsc.v111.239

Published
2022-07-20

Issue
Vol. 1 No. 1 (2022): Test issue

Section
Articles

License

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Readers are free to copy and redistribute the material in any medium or format and remix, transform, and build upon the material for any purpose, even commercially, providing appropriate credit and a link to the license.
Other general policies

- Open access journals may not have a “mirror” / “sister” journal which charges subscriptions

- Journals must be registered with the Directory of Open Access Journals (DOAJ) or in the process of being registered
Journal statistics

● Annual statistics should be published on the journal site and include:
  ○ Number of submissions
  ○ Number of reviews requested & received
  ○ Approval rate
  ○ Average time between submission and publication

● Statistics can be added to
  ○ About the Journal
  ○ A separate “Editorial Statistics” [custom] page
Number of submissions received & acceptance rate

- See Editorial Activity
Number of reviews requested and received

- Download Review Report

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Number of items in “Date Notified” column = number of reviews requested

Number of items in “Date Completed” column = number of reviews received
Average time between submission and publication

- Download the **View** report and **Articles** report

Look for date submitted

Look for date published

Combine these two columns into a single report
Average time between submission and publication

- Calculate the difference between date submitted and date published into a new “days to publication” column
- Calculate an average from the “Days to publication” column

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Persistent Identifiers for articles (DOIs)

- Plan S requires the use of persistent identifiers for articles, preferably Digital Object Identifiers (DOIs)

Test article to show what a published article looks like in OJS

Sarah Jones

DOI: https://doi.org/10.9876/jpsc.v1i1.239

Abstract

Persistent Identifiers for articles (DOIs)

- Purchase a membership with a DOI registration agency, such as Crossref, Datacite, or mEDRA, or use your institutional membership
- Follow the steps to setup the Crossref or Datacite/mEDRA plugins with the credentials provided by the registration agency
- Note: you’ll need to ensure that the Publisher and ISSN fields are completed in OJS before you can setup the DOI plugin
Persistent Identifiers for articles (DOIs)

CrossRef XML Export Plugin

**DOI Plugin Settings**

The following items are required for a successful CrossRef deposit.

- **Public Knowledge Project**
- **Depositor name**
- **Depositor email**

If you would like to use this plugin to register Digital Object Identifiers (DOIs) directly with CrossRef you will need a username and password (available from CrossRef) in order to do so. If you do not have your own username and password you can still export into the CrossRef XML format, but you cannot register your DOIs with CrossRef from within Q5.

- **pkptemp**
  - **Username**
  - **Password**

Please note that the password will be saved as plain text, i.e. not encrypted.

Q5 will deposit assigned DOIs automatically to CrossRef. Please note that this may take a short amount of time after publication to process (e.g. depending on your cronjob configuration). You can check for all unregistered DOIs.

Use the CrossRef test API (testing environment) for the DOI deposit. Please do not forget to remove this option for the production.

**Articles**

- **Select**
- **ID**
- **Author; Title**
- **Issue**
- **DOI**
- **Status**

- **Validate XML**: Use this option for the XML download for the manual DOI registration.
- **Only validate export**: Don't download the file.

**Deposit**

- Not deposited: no deposit attempt has been made for this DOI.
- Active: the DOI has been deposited, and is resolving correctly.
- Failed: the DOI deposit has failed.
- Marked active: the DOI was manually marked as active.

Only the status of the last deposit attempt is displayed.

If a deposit has failed, please solve the problem and try to register the DOI again.
Persistent Identifiers for articles (DOIs)

Assign DOIs in the “Identifiers” area of the Publication tab when publishing a submission.
Article Metadata

- Follow best practices for article metadata, as described in our Metadata Better Practices guide.

For example:
- Ensure metadata on the published article PDF matches the article metadata in OJS
- Use only one language per metadata field
- Review article metadata prior to publishing
Article Metadata

- Information on cOAlition S funding, at minimum the name of the funder and the grant number/identifier.
  - Install the Funding Plugin - more information about this later on
- OJS metadata meets Plan S requirements for standard interoperable non-proprietary formats, so no action is needed from journals.
- Plan S requires article metadata to be released under a CC0 Public Domain dedication - add a statement to the About the Journal page or elsewhere on the site.
Open Access

This journal provides immediate open access to its content on the principle that making research freely available to the public supports a greater global exchange of knowledge.

Authors retain copyright for their published works and are encouraged to deposit the post-print or version of record in an open access repository, with an acknowledgement that this journal is the first place of publication.

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Article metadata is published under a CC0 public domain dedication.
Preservation options

PKP Preservation Network (PN)
- Free for all OJS journals
- Requires OJS 3.1.2+, an ISSN and at least 1 article published
- For details see the PKP PN guide

Other preservation options:
- Global LOCKSS Network - annual fees apply
- CLOCKSS (Controlled LOCKSS) - annual fees apply
- Portico - annual fees apply, requires OJS 3.1.2+, manual content export needed
Persistent identifiers for authors - ORCID [Recommended]

ORCID iD plugin for OJS 3.1.2+:

- Displays ORCID iD next to contributor’s name on article page
- Can send manual or automatic requests to contributors to authenticate their ORCID iDs
- Sends article metadata to contributor’s ORCID record [Member API required]
- See [ORCID iD plugin](#) for setup details

---

**Contribution’s ORCID iD displayed on article page**

*Heather Buchansky*

University of Toronto

[ORCID: https://orcid.org/0000-0002-7958-3928](https://orcid.org/0000-0002-7958-3928)
Persistent identifiers for organizations - ROR [Recommended]

ROR plugin for OJS 3.2+:

- Allows to look up contributor affiliation in the ROR registry
- Displays contributor’s ROR affiliation on article page
- See ROR plugin for details

Contributor’s ROR affiliation displayed on article page
Persistent identifiers for funders [Recommended]

Funding plugin for OJS 3.2+:

- Adds Funding data section to submission metadata & production tab
- Looks up funder name & DOI in Crossref registry
- Displays funder name and grant number(s) on article page
- See Funding plugin for details

Funder lookup during Submission or Production

Funder information displayed on article page
Full text JATS XML publishing [Recommended]

Upload an externally created XML galley and use an XML viewer within OJS:

- eLife Lens Reader - displays JATS XML as HTML galley files, with limitations
- JATSParser plugin - displays XML files on the reader front end, with limited support for JATS tags

Options/tools for XML creation & editing:

- Outsource to a professional typesetter
- See a comprehensive list of other tools in the Who is Who in JATS report (2019)
  - UPD: Texture and Open Typesetting Stack are no longer in development
  - These tools require technical expertise to use; some may be in beta
Repository Direct Deposit & OpenAire compliance [Recommended]

- **SWORD plugin** for OJS 3.1+
  - Facilitates metadata + full text deposit of OJS articles to SWORD-enabled repositories (e.g. DSpace-based repositories)

- **OpenAire plugin** for OJS 3.1+
  - Adds `oai_openaire_jats` metadata format to the OAI-PMH feed for passive harvesting
  - Adds COAR Resource Type vocabulary drop-down to journal section details
  - You can additionally share funding data via the [Funding plugin for OJS3](#)
  - Journal needs to register with OpenAire. For detailed steps see the [OpenAire plugin](#) page

**Type of articles published in this section**

Choose One

For better OpenAIRE compliance, uses COAR Resource Type Genres [http://vocabularies.coar-repositories.org/documentation/resource_types/2.0.draft/](http://vocabularies.coar-repositories.org/documentation/resource_types/2.0.draft/)

COAR Resource Type drop-down added to journal section for OpenAire compliance
1. Add the link to external research data as a remote galley

2. Forthcoming Dataverse Plugin will allow authors to deposit data into a Dataverse repository upon submission, and link to it (currently in development)
Open citation data [Recommended]

For CrossRef members:

1. Set your citations to “open”
   - Since 2017, all new members’ references are “open” by default
   - Earlier members can check that their journal’s references are set to “open”; if not - change this setting with Crossref

2. Submit your references to Crossref
   - Use the Crossref Reference Linking plugin
   - The plugin will deposit references to Crossref and check for existing DOIs
   - There is no additional charge to Crossref members for using the plugin
Where to find further information

- Plan S Compliance in OJS Guide
- PKP documentation
- PKP Community Forum

Questions?